



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

32205

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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03/24/00

12/09/99

ANDERSON

A

10

0242/0120

#3

NOT ASSIGNED

JOHN R. CARR
FENWICK & WEST LLP
THO PALO ALTO SQUARE
PALO ALTO CA 94306

2747

DATE MAILED:

01/20/00

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☐ non-small entity is \$ 2762.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ 936 for 52 total claims over 20.

\$ 936 for 12 independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

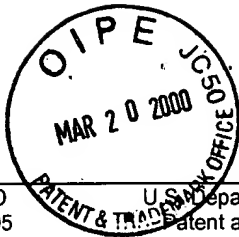
A copy of this notice MUST be returned with the reply.

Customer Service Center

03/22/2000 YGIZAW 00000065 09458353

01 FC:101

760.00 OP
858.00 OP



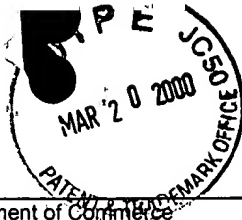
PTO/SB/21 (modified)
Approved for use through xx/xx/xx, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO Rev. 10/95 TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	Application Number	09/458,353	
	Filing Date	December 9, 1999	
	First Named Inventor	Arthur G. Anderson	
	Group Art Unit Number	2747	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	13	Attorney Docket Number	3569

ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Letter to Chief Draftsperson
<input checked="" type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> Formal Drawing(s): [] Sheet(s) of Figure(s) []
<input checked="" type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Request for Corrected Filing Receipt	<input checked="" type="checkbox"/> Copy of PTO-1533
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input type="checkbox"/> _____
<input type="checkbox"/> Amendment/Response: [] Page(s) <input type="checkbox"/> After Final	<input type="checkbox"/> _____
<input type="checkbox"/> Status Request	<input type="checkbox"/> _____
<input type="checkbox"/> Revocation and Power of Attorney	<input type="checkbox"/> _____
REMARKS:	

SIGNATURE OF ATTORNEY OR AGENT			
Signature:			
Attorney/Reg. No.:	John R. Carr, Registration No. 42,390	Dated:	3-16-2000

CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Box MISSING PARTS, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:			
Typed or Printed Name:	John R. Carr	Dated:	3-16-2000
Express Mail Mailing Number (optional):	EL550794806US		



0002/PTO(modified) Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Complete if Known	
		Application Number	09/458,353
FEE TRANSMITTAL		Filing Date	December 9, 1999
		First Named Inventor	Arthur G. Anderson
		Group Art Unit	2747
		Examiner Name	Not yet known
		Attorney Docket Number	3569
TOTAL AMOUNT OF PAYMENT			
Subtotal (1) + Subtotal (2) + Subtotal (3) =		(\$) 2,654	

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																	
1. The Commissioner is hereby authorized to:		3. ADDITIONAL FEES																																																	
<input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account.		<u>Large Entity</u> Fee Code/Fee	<u>Small Entity</u> Fee Code/Fee	Fee Description	Fee Due																																														
<input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.†		105/\$130	205/\$65	Surcharge - late filing fee or oath	130																																														
<input type="checkbox"/> Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account.		127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet																																															
Deposit Account Number: 19-2555		147/\$2,520	147/\$2,520	For filing a request for reexamination																																															
Deposit Account Name: FENWICK & WEST LLP		115/\$110	215/\$55	Extension for response within first month†																																															
A Duplicate Copy of this authorization is attached		116/\$380	216/\$190	Extension for response within second month†																																															
2. <input checked="" type="checkbox"/> Payment Enclosed:		117/\$870	217/\$435	Extension for response within third month†																																															
[X] Check [] Other		118/\$1,360	218/\$680	Extension for response within fourth month†																																															
FEE CALCULATION (fees effective 11/12/98)		128/\$1,850	228/\$925	Extension for response within fifth month†																																															
1. FILING FEE		119/\$300	219/\$150	Notice of Appeal																																															
<u>Large Entity</u> Fee Code/Fee	<u>Small Entity</u> Fee Code/Fee			Petition to revive unintentionally abandoned application																																															
101/\$690	201/\$345			Utility Issue Fee (Or Reissue)																																															
106/\$310	206/\$155			Design Issue Fee																																															
108/\$690	208/\$345			Petitions to the Commissioner																																															
				Petitions related to provisional applications																																															
114/\$150	214/\$75			Submission of Information Disclosure Statement																																															
SUBTOTAL (1) (\$) 690				Recording each patent assignment per property (times number of properties)	40																																														
2. CLAIMS				Filing a submission after final rejection (37 CFR 1.129(a))																																															
<u>Large Entity</u> Fee Code/Fee	<u>Small Entity</u> Fee Code/Fee			For each additional invention to be examined (37 CFR 1.129(b))																																															
103/\$18	203/\$9			Other fee (specify): Ten soft copies of issued patent																																															
102/\$78	202/\$39			Other fee (specify):																																															
104/\$260	204/\$130			SUBTOTAL (3) (\$) 170																																															
109/\$78	209/\$39																																																		
110/\$18	210/\$9																																																		
		<table border="1"><thead><tr><th colspan="2">(Col. 1)</th><th colspan="2">(Col. 2)</th><th colspan="2">(Col. 3)</th><th colspan="2"></th></tr><tr><th>For</th><th>No. of Existing Claims</th><th></th><th>Highest No. Previously Paid For</th><th>Extra**</th><th>Fee</th><th></th><th>Fee Due</th></tr></thead><tbody><tr><td>TOTAL</td><td>72</td><td>minus*</td><td>20 or</td><td>=</td><td>52</td><td>x</td><td>18</td><td>=</td><td>936</td></tr><tr><td>INDEP</td><td>14</td><td>minus*</td><td>3 or</td><td>=</td><td>11</td><td>x</td><td>78</td><td>=</td><td>858</td></tr><tr><td colspan="10">[] First presentation of multiple dependent claim</td></tr></tbody></table>				(Col. 1)		(Col. 2)		(Col. 3)				For	No. of Existing Claims		Highest No. Previously Paid For	Extra**	Fee		Fee Due	TOTAL	72	minus*	20 or	=	52	x	18	=	936	INDEP	14	minus*	3 or	=	11	x	78	=	858	[] First presentation of multiple dependent claim									
(Col. 1)		(Col. 2)		(Col. 3)																																															
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TOTAL	72	minus*	20 or	=	52	x	18	=	936																																										
INDEP	14	minus*	3 or	=	11	x	78	=	858																																										
[] First presentation of multiple dependent claim																																																			
		* Subtract the greater number of Col. 2				SUBTOTAL (2) (\$) 1794																																													
		** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3																																																	

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	John R. Carr	Reg. Number	42,390
Signature		Date	3-16-2000

A9000/00000/SF/5016699.1



PATENT

IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANTS: Arthur G. Anderson & Robert M. Khamashta
SERIAL NO.: 09/458,353
FILING DATE: December 9, 1999
TITLE: Headset With Memory
EXAMINER: Unknown
GROUP ART UNIT: 2747
ATTY. DKT. NO.: 3569

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10, using Express Mail Mailing Number EL550794806US in an envelope addressed to: Assistant Commissioner For Patents, Box Missing Parts, Washington, D.C. 20231, on the date shown below:

Dated: 3-16-2000

By: John R. Carr
John R. Carr, Reg. No.: 42,390

BOX MISSING PARTS
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION

SIR:

Responsive to Form PTO-1533 dated January 20, 2000 received in the above-identified patent application, enclosed are:

- ☒ a copy of the Notice to File Missing Parts;
- ☒ an original, signed Declaration;
- ☐ a signed Verified Statement

- ☐ Applicant respectfully submits that since this application was entitled to Small Entity Status when filed, as established with the enclosed original, signed, Verified Statement, the fee for filing as a large

entity as indicated in the Notice to File Missing Parts is inappropriate and that the filing fee submitted with this application as originally filed is sufficient to cover the filing fees for this application;

- ☒ Assignment and PTO-1619A;
- ☒ Other: Check in the amount of \$2,724, to cover the application filing costs, missing parts surcharge and the assignment recordation fee.

Respectfully submitted,
ARTHUR G. ANDERSON
ROBERT KHAMASHTA

Dated: 3-16-2000

By: 

John R. Carr, Reg. No.: 42,390
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
Tel.: (415) 875-2431
Fax.: (415) 281-1350

18864/03569/SF/5020975.1